PTO/SB/21 (04-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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G TRADEN	Application Number	10/699,584		
TRANSMITTAL	Filing Date	10/31/2003		
FORM	First Named Inventor	Phillip B. Messersmith		
(to be used for all correspondence after initial filing)	Art Unit	1651		
	Examiner Name			
Total Number of Pages in This Submission	Attorney Docket Number	7317		
ENC	LOSURES (check all that apply)			

ENCLOSURES (check all that apply)						
Fee Transmittal Fo	om	Drawing(s) (Figures 2, 8, 11 and 12 resubmitted)	After Allowance Communication to Technology Center (TC)			
		Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences			
Amendment / Rep	ly	Petition	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
After Final		Petition to Convert to a Provisional Application	Proprietary Information			
Affidavits/decl	aration(s)	Power of Attorney, Revocation Change of Correspondence Address	Status Letter			
Extension of Time	Request	Terminal Disclaimer	Other Enclosure(s) (please identify below):			
		Request for Refund	Completion of Filing Requirements			
Express Abandonr	ment Request	CD, Number of CD(s) 1 containing sequence listing	Assignment and Recordation Cover Sheet			
☐ Information Disclos	sure Statement		Sequence Listing Statement Regarding Sequence Listing			
Certified Copy of F	Priority	Remarks				
Response to Missing Parts/ Incomplete Application						
Response to N Parts under 37 1.52 or 1.53						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm or Individual name	Antonia M. Holland Reinhart Boerner Van Deuren s.c.					
Signature	antonia M. Houand					
Date	September 2, 2004					

#### **CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as Express Mail No. EV531801402US in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

225 15-1450 Off the date shown below.

Typed or printed name Antonia M. Holland

Signature Cutorua M. Date September 2, 2004

This conection of information is required by 37 CPR 1.5. The information is required to obtain or retain a benefit by the public which is to me tand by the OSP10 to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA

22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2. MW1122339

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1	PERE TRANSMITTAL	Complete if Known			
•	TEE TRANSMITTAL	Application Number	10/699,584		
	se 02 2004 for FY 2004	Filing Date	10/31/2003		
	×1	First Named Inventor	Phillip B. Messersmith		
ं	Effective \$4/01/2003. Patent fees are subject to annual revision.	Examiner Name			
	Applicant claims small entity status. See 37 CFR 1.27	Art Unit	1651		
1	TOTAL AMOUNT OF PAYMENT (\$) 704.00	Attorney Docket No.	7317		

TOTAL AMOUNT OF PAYMENT (\$) 704.00						Attorney Docket No. 7317									
METHOD OF PAYMENT (check all that apply)						FEE CALCULATION (continued)									
(Manager Park)					3. ADDITIONAL FEES										
□ Check □ Credit card □ Money □ Other □ None															
Order  Deposit Account:					Large	Entity	Small E	ntity							
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Account Number		18-0882						-		1051	130	2051	65	Surcharge - late filing fee or oath	65.00
Number	L						_			1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit	ſ									1053	130	1053	130	Non-English specification	<b></b>
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except for the			s) dui	ing the p	Jenu	ency or an	is ap	piication						Examiner action	
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to the above	-identif	ied deposit	acco	unt.						1252	420	2252	210	Extension for reply within second month	
		FE	E CAL	CULATI	ON					1253	950	2253	475	Extension for reply within third month	
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1002 340		002 170		esign filir	_	е			4	1403	290	2403	145	Request for oral hearing	
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1005 160		005 80			-				1	1452	110	2452	55	Petition to revive – unavoidable	
1005   160 2005 80 Provisional filling fee					J	1453	1,330	2453	665	Petition to revive - unintentional					
		SUBTO	DTAL (	(1)			(\$)	385.00	]	1501	1,330	2501	665	Utility issue fee (or reissue)	
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2. EXTRA	CLAI	W FEES		Extra	TA	ND REIS	30	⊏ Fee		1503	640	2503	320	Plant issue fee	
				Claims		below		Paid		1460	130	1460	130	Petitions to the Commissioner	
Total Claims	39	-20 **	= [	19	X	9	] =	171	]	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Independent Claims	4	-3 **	= [	1	x	43	=	43	]	1806	180	1806	180	Submission of Information Disclosure Stmt	
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	Fee	Fee	Fee	Fee D	esci	ription								(37 CFR § 1.129(a))	
	(\$)	Code	(\$)				_			1810	770	2810	385	For each additional invention to be	
	18	2202	9			excess of 2				l				examined (37 CFR § 1.129(b))	
	86 290	2201 2203	43 145		-	ent claims i ependent c			4	1801	770	2801	385	Request for Continued Examination (RCE)	
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over original patent						Other fee (specify)									
SUBTOTAL (2) (\$) 214.00						*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 105.00				5.00					
**or_numbe	r previo	usly paid, if g	reater	; For Reis	sues	, see above									
SUBMITTED	DV.													Complete (if applicable)	
- SHRMUTED														Lombiele /it applicable)	

SUBMITTED BY				С	omplete (if applicable)
Name (Print/Type)	Antonia M. Holland	Registration No. (Attorney/Agent)	53,840	Telephone	414-298-8285
Signature	Antona (	n. Hollen	1	Date	September 2, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is restain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

SEP 0 2 2004

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Reinhart Boerner Van Deuren s.c.

By: antonia M. Caucino

Date: September 2, 2004

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

**GROUP ART UNIT: 1651** 

**EXAMINER:** Unknown

In re application of:	)	
Phillip B. Messersmith et al.	)	
Application No: 10/699,584  Filed: 10/31/2003  Confirmation No.: 1890	) ) ) )	For: INJECTABLE AND BIOADHESIVE POLYMERIC HYDROGELS AS WELL AS RELATED METHODS OF ENZYMATIC PREPARATION
Attorney Docket No. 7317	)	
Customer No. 22922	Ć	

MAIL STOP MISSING PARTS Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# **COMPLETION OF FILING REQUIREMENTS**

(check and complete this item, if applicable)

I. [X] This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed July 2, 2004.

NOTE: If these papers are filed before the office letter issues, adequate identification of the original papers should be made, e.g., in addition to the name of the inventor and title of invention, the filing date based on the "Express Mail" procedure, the serial number from the return post card or the attorney's docket number added.

[X] A copy of the Notice to File Missing Parts of Application-Filing Date Granted (Form PTO-1533) is enclosed.

NOTE: The PTO requires that a copy of Form PTO-1533 be returned with the response to the notice to file missing parts to the application.

MW\1122293AMH:DMM 08/31/04

1

#### **DECLARATION OR OATH**

II. [X] No original declaration or oath was filed. Enclosed is a copy of the original declaration or oath for this application.

OR

- [ ] The original declaration or oath which was filed was determined to be defective. A new original oath or declaration is attached.
- III. [X] No basic filing fee was filed.

NOTE: 37 CFR 1.41(a) points out that "Full names must be stated, including the family name and at least one given name without abbreviation together with any other given name or initial."

NOTE: For surcharge fee for filing declaration after filing date complete item VI(3) below.

#### **DRAWINGS**

IV. In response to the requirements of the NOTICE, Applicants submit herewith a replacement sheet Figure 2 in compliance with 37 CFR 1.84 and 37 CFR 1.121.

## **SEQUENCE LISTING**

- V. [X] A computer readable form of the sequence listing in compliance with 37 C.F.R. 1.822 and/or 37 C.F.R. 1.823 is hereby submitted.
  - [X] A written copy of the sequence listing is also hereby submitted.
  - [X] A Statement that the content of the sequence listing information recorded on the computer readable form is identical to the written form is also hereby submitted.

Reg. No. 53,840

Tel. No.: (414) 298-8285

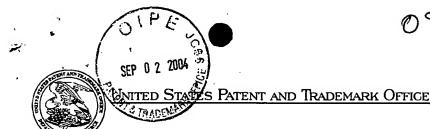
Antonia M. Holland

Reinhart Boerner Van Deuren, s.c.

Attn: Linda Gabriel, Docket Clerk 1000 North Water Street, Suite 2100

ntona M. Obeland

Milwaukee, WI 53202



United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Sex 1450 Alexandria, Virginia 22313-1450

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/699,584

10/31/2003

Phillip B. Messersmith

7317

22922

REINHART BOERNER VAN DEUREN S.C. ATTN: LINDA GABRIEL, DOCKET COORDINATOR 1000 NORTH WATER STREET **SUITE 2100** MILWAUKEE, WI 53202

**CONFIRMATION NO. 1890** 

**FORMALITIES LETTER** 

\*OC000000013143993\*

Date Mailed: 07/02/2004

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/07/2004 MMEKONEN 00000114 10699584

01 FC:2001 385.00 OP 02 FC:2051 03 FC:2201 65.00 OP 43.00 OP 04 FC:2202 171.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

## **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all •required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - Numbers, letters, and reference characters on the drawings must measure at least 0.32 cm (1/8 inch) in height. See Figure(s) 2.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at

63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• Additional claim fees of \$214 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$664 for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$214
  - \$43 for 1 independent claims over 3.
  - \$171 for 19 total claims over 20.

Replies should be mailed to:

Mail Stop Missing Parts

**Commissioner for Patents** 

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

**Customer Service Center** 

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE